



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICATION NO.:** 

10/673,674

ART UNIT: 2176

EXAMINER: James H. Blackwell

APPLICANT(S):

Michael D. Hitchcock, James H. Wolfston, Jr.,

John W. Stedman, Andree J. Hertz and Raymond L. Price

FILING DATE:

September 29, 2003

TITLE:

Universal Forms Engine

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto is Form PTO SB08A & B with references listed for consideration by the Office. Copies of cited US patents and publications are not provided in accordance with the waiver published in the August 5, 2003 Official Gazette.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure

Statement shall not be construed as a representation that a search has been made or an admission

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as priority mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Date: December 16, 2005

Name: Kathy Schoen

that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits.

Respectfully submitted,

Date: December 16, 2005

Michael O. Scheinberg

Patent Reg. 36,919 P.O. Box 164140

Austin, Texas 78716-4140 Telephone: (512) 328-9510

Facsimile: (512) 306-1963

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

2

Complete il Known			
Application Number	10/673,674		
Filing Date	September 29, 2003		
First Named Inventor	Michael D. Hitchcock		
Art Unit	2176	_	
Examiner Name	James H. Blackwell		
Attorney Docket Number	C069		

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	Α	<sup>US-</sup> 5,193,057	03/09/1993	Ross N. Longfield	
•	В	<sup>US-</sup> 5,611,052	03/11/1997	Diana R. Dykstra et al.	
	С	<sup>US-</sup> 5,724,523	03/03/1998	Ross N. Longfield	
	D	<sup>US-</sup> 5,832,497	11/03/1998	Jeffrey C. Taylor	
	Ε	<sup>US-</sup> 5,978,768	11/02/1999	Robert J. McGovern et al.	
	F	<sup>US-</sup> 6,014,645	01/11/2000	Gordon Cotter Cunningham	
	G	<sup>US-</sup> 6,029,149	02/22/2000	Diana R. Dykstra et al.	
	Н	<sup>US-</sup> 6,088,700	07/11/2000	Kenneth N. Larsen et al.	
*		US-			
		US-			
		US-		:	
		US-			
		US-			
		US-			
		US-		<u> </u>	
		US-			

FOREIGN PATENT DOCUMENTS						
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T®
	ļ					
	ļ					
	<u> </u>				•	

Examiner	 Date	
Signature	Considered	
	l	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁴Applicant is to place a check mark here if English language <sup>2</sup>See Kinds Codes of Standard ST.3). <sup>4</sup> For Translation is attached

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449/PTO		Complete if Known			
				Application Number	10/673,674
INFO	DRMATION	N DIS	CLOSURE	Filing Date	September 29, 2003
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Michael D. Hitchcock
(Use as many sheets as necessary)				Art Unit	2176
	(Use as many sn	eew as m	ecessary)	Examiner Name	James H. Blackwell
Sheet	2	of	2	Attorney Docket Number	C069

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
nitials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
CAP		CAPSOFT DEVELOPMENT CORPORATION, "Learning Hot Documents",	
		Copyright 1993, 1994, 1995 and 1996.	
	J	ANYFORM FORM SOFTWARE, "AnyForm User's Manual", April 2004	
	.,	EZX CORPORATION, "EZ-Forms (for Microsoft Windows 95/98/NT4/2000), 1985-1999	
	K	by EZX Corporation "The EZ-Forms Automation Company"	
	L	XAP Company, Software Product User's Guide 1995, Production Department, Produce Manual	
	М	Web Pages from CastleShop Buyers (surfer1 Web Surfer) (dates unknown)	_
	N	Web Pages from CastleShop Buyers (POsurfer1-857521350) (dates unknown)	
	0	Web Pages from SNAP TECHNOLOGIES, INC., 1996, www.CollegeEdge.com	
			-

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.